

EXHIBIT C

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C3K8WRUC

1 UNITED STATES DISTRICT COURT
1 SOUTHERN DISTRICT OF NEW YORK

2 -----x

3 SHIRLEY WRUBEL,

4 Plaintiff,

5 v.

12 MC 77-P1

6 JOHN HANCOCK LIFE INSURANCE
6 COMPANY,

7 Defendant.

8 -----x

9 March 20, 2012
10 10:10 a.m.

11 Before:

12 HON. LEWIS A. KAPLAN

13 District Judge

14 APPEARANCES

15 KELLEY DRYE & WARREN, LLP
15 Attorneys for Defendant
16 BY: SEAN R. FLANAGAN
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1 (Case called)

2 THE DEPUTY CLERK: Counsel for defendant, are you
3 ready?

4 MR. FLANAGAN: We are.

5 THE COURT: Mr. Flanagan, have the papers been served
6 on the three individuals who you want relief against?

7 MR. FLANAGAN: Yes, they have, along with the rules of
8 ECF filing.

9 THE COURT: Have affidavits of service been filed?

10 MR. FLANAGAN: Yes.

11 THE COURT: When were they served?

12 MR. FLANAGAN: They were served on March 8, your
13 Honor.

14 THE COURT: There is no appearance. I am signing an
15 order granting the motion and you can get a copy from my clerk.
16 It will be posted on ECF today.

17 Have you brought all this to the attention of the
18 United States Attorney?

19 MR. FLANAGAN: Your Honor, we have been talking with
20 the client about doing that, and one of the issues for John
21 Hancock, to be quite frank, is we are discovering more facts,
22 and I think the client is in between bringing it to the U.S.
23 Attorney and waiting to get all the facts in before bringing it
24 to the U.S. Attorney in a package. But it is certainly being
25 discussed internally at John Hancock whether to bring it to the

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1 U.S. Attorney or not. I know in the Southern District they
2 just arrested about a month ago three brokers who were involved
3 with these type of STOLI policies.

4 THE COURT: You may want to take it over there.

5 MR. FLANAGAN: Understood, your Honor.

6 THE COURT: OK. Thank you.

7 (Adjourned)

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